

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Federspiel et al.

Application No. 10/697,787

Filed: October 29, 2003

Confirmation No. 1171

For: GENERATION OF PLANTS WITH
IMPROVED PATHOGEN RESISTANCE
AND DROUGHT TOLERANCE

Examiner: Medina Ahmed Ibrahim

Art Unit: 1638

Attorney Reference No. 6616-72707-02

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Attorney or Agent
for Applicant(s)Date Mailed March 27, 2006TRANSMITTAL LETTER

Enclosed for filing in the application referenced above are the following:

- ☒ Supplemental Information Disclosure Statement
- ☒ Form 1449
- ☒ References (8)
- ☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

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PATENT

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Copies of United States patents and United States published patent applications do not have to be provided to the Patent Office (37 C.F.R. 1.98(a)(2)(ii)). Copies of unpublished U.S. applications do not have to be provided, as long as the application is available on PAIR, as this requirement of 37 C.F.R. § 1.98(a)(2)(iii) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on October 19, 2004 (1287 OG 163). Applicants will provide copies of such patents or applications upon request.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS.


However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. Deposit Account authority is provided on the accompanying transmittal letter.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

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**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Attorney Docket Number	6616-72707-02
Application Number	10/697,787
Filing Date	October 29, 2003
First Named Inventor	Federspiel
Art Unit	1638
Examiner Name	Medina Ahmed Ibrahim

U.S. PATENT DOCUMENTS

Certain U.S. patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

Examiner's Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
		6,791,012	August 24, 1999	CHEN <i>et al.</i>
		5,981,842	November 9, 1999	WU <i>et al.</i>

OTHER DOCUMENTS

Examiner's Initials*	Cite No. (optional)	
		ALVIM <i>et al.</i> , "Enhanced Accumulation of BiP in Transgenic Plants Confer Tolerance to Water Stress" <i>Plant Physiol.</i> , 126:1042-1054, 2001.
		PAPI <i>et al.</i> , "Identifications and Disruption of an Arabidopsis Zinc Finger Gene Controlling Seed Germination," <i>Genes Dev.</i> , 14:28-33, 2000.
		RYAN <i>et al.</i> , Accession Number: T01350. EMBL database, 6 pages, 1999.
		Theologis <i>et al.</i> "Sequence and analysis of chromosome 1 of the plant <i>Arabidopsis thaliana</i> ," <i>Nature</i> , 408:816-820, 2000
		YANAGISAWA, S., "The Dof family of plant transcription factors " <i>Trends Plant Sci.</i> , 7:555-560, 2002.
		YANAGISAWA, S., "Dof DNA-Binding Proteins Contain a Novel Zinc Finger Motif," <i>Trends Plant Sci.</i> , 1(7):213-214, 1996.
		ZHANG <i>et al.</i> , "Interactions Between Distinct Types of DNA Binding Proteins Enhance Binding to ocs Element Promoter Sequences," <i>Plant Cell</i> , 7:2241-2252, 1995.
		EU ARABIDOPSIS SEQUENCING PROJECT, DNA Binding Protein. GenBank Accession No. CAB88324, page 1.

**EXAMINER
SIGNATURE:**
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* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.